



PATENT
Attorney Docket No. DPL-014

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Shu
SERIAL NO.: 10/025,113 GROUP NO.: Not Yet Assigned
FILING DATE: December 19, 2001 EXAMINER: Not Yet Assigned
TITLE: DISTRIBUTED FAULT TOLERANT AND SECURE STORAGE

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

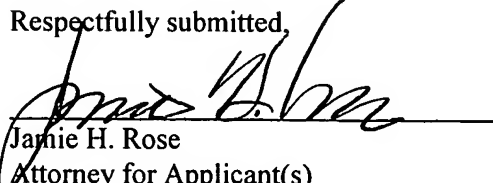
As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that **[check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]**:

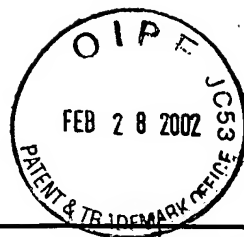
- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Date: February 20, 2002
Reg. No. 45,054

Tel. No.: (617) 248-7376
Fax No.: (617) 248-7100

Respectfully submitted,


Jamie H. Rose
Attorney for Applicant(s)
Testa, Hurwitz, & Thibault, LLP
High Street Tower
125 High Street
Boston, Massachusetts 02110



SHEET 1 OF 2

FORM PTO - 1449

INFORMATION DISCLOSURE
STATEMENT

ATTORNEY DOCKET NO.: DPL-014

APPLICANT(S): Shu

SERIAL NO.: 10/025,113

FILING DATE: December 19, 2001 GROUP: Not Yet Assigned

U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A1	4,802,220	1/31/89	Marker, Jr.			
	A2	4,908,828	3/13/90	Tikalsky			
	A3	4,914,657	4/3/90	Walter et al.			
	A4	5,412,654	5/2/95	Perkins			
	A5	5,551,032	8/27/96	Lyon et al.			
	A6	5,553,145	9/3/96	Micali			
	A7	5,583,940	12/10/96	Vidrascu et al.			
	A8	5,610,904	3/11/97	Eng et al.			
	A9	5,666,420	9/9/97	Micali			
	A10	5,668,880	9/16/97	Alajajian			
	A11	5,742,668	4/21/98	Pepe et al.			
	A12	5,757,924	5/26/98	Friedman et al.			
	A13	5,822,433	10/13/98	Böttle et al.			
	A14	5,850,451	12/15/98	Sudia			
	A15	5,864,654	1/26/99	Marchant			
	A16	5,872,847	2/16/99	Boyle et al.			
	A17	5,883,581	3/16/99	Dorenbosch et al.			
	A18	5,914,971	6/22/99	Carter et al.			
	A19	5,968,197	10/19/99	Doiron			
	A20	5,982,893	11/9/99	Hughes			
	A21	5,987,011	11/16/99	Toh			
	A22	5,987,639	11/16/99	Kivari et al.			
	A23	5,995,559	11/30/99	Hedberg			
	A24	6,009,177	12/28/99	Sudia			

EXAMINER

DATE CONSIDERED



SHEET 2 OF 2

FORM PTO - 1449

INFORMATION DISCLOSURE
STATEMENT

ATTORNEY DOCKET NO.: DPL-014

APPLICANT(S): Shu

SERIAL NO.: 10/025,113

FILING DATE: December 19, 2001 GROUP: Not Yet Assigned

U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A25	6,044,486	3/28/00	Underseth et al.			
	A26	6,044,487	3/28/00	Li			
	A27	6,052,812	4/18/00	Chen et al.			
	A28	6,081,601	6/27/00	Raivisto			
	A29	6,085,178	7/4/00	Bigus et al.			
	A30	6,118,775	9/12/00	Kari et al.			
	A31	6,130,881	10/10/00	Stiller et al.			
	A32	6,137,792	10/24/00	Jonas et al.			
	A33	6,138,235	10/24/00	Lipkin et al.			
	A34	6,154,147	11/28/00	Gabrielle et al.			
	A35	6,167,513	12/26/00	Inoue et al.			
	A36	6,175,752	1/16/01	Say et al.			

FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)

OTHER ART, JOURNAL ARTICLES, ETC.

EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
	C1	Weber, Thomas E., "Berkeley Professor Has a Plan to Turn the Net Into a Vast Hard Drive," <u>The Wall Street Journal</u> , April 23, 2001, pg. B1.							

EXAMINER

DATE CONSIDERED